Partnering with States to Address Licensing Research Questions

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Acknowledgements



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Agenda

- **1.** Overview of Project and Session Brenda Miranda, Child Trends
- 2. Project Approach to Engaging States and Others
 Nina Johnson, ICF
- 3. Lessons Learned from Web-scraping Licensing Data Tracy Gebhart, Child Trends
- 4. Partnering with States to Analyze Licensing Administrative Data

 Diane Early, Child Trends
- 5. State Perspectives on Licensing Research Simon Bolivar, Utah Licensing Dawn Jeffrey, Arkansas Licensing
- 6. Discussion



Project Overview

Brenda Miranda



Child Care and Early Education Licensing

 Child care licensing establishes regulations that must be met to legally operate a child care program.

 Child care licensing also monitors and enforces those regulations.



Purposes of TRLECE Research Project

- Identify and address gaps in our knowledge of how key licensing features are related to quality and outcomes
- Collect new data to help address the gaps in our knowledge
- Develop resources to support states and territories in using data and research to strengthen their licensing systems



Conceptual Framework for CCEE Licensing

Context at the State and Community Levels

funding | governance | culture | political climate | structural racism

Child Care and Early Education (CCEE) Licensing System

Constituents CCEE Providers, Families*

- provide input on policies and practices
- participate in decisionmaking about policies

Other Entities with CCEE Standards and Monitoring Systems ORIS Pre-K, Head Start, Subsidy,

- determine eligibility
- set standards

CACFP IDEA

- monitor standards to ensure compliance
- provide training and technical assistance

Licensing Cultural Values

protect children | support quality | ensure equity

Management of Licensing Unit

- equitable policies and procedures
- staff management and support
- quality assurance
- data-informed decision making

Licensing Functions

- set, review, and revise regulations
- monitor CCEE providers
- enforce compliance with regulations
 provide training and technical
- assistance
 educate consumers

Partners that Support

Licensing and Quality

Local Fire Departments, County Health Departments, Child Care Resource & Referrals, Community Colleges*

- set regulations and monitor CCEE providers
- help CCEE providers meet regulations
- help families find safe, culturally responsive, high quality licensed CCEE arrangements that meet their needs
- provide education, training, and professional development to support quality practices

Outcomes*

Licensed CCEE Providers

- · understand and meet regulations
- attend to and strive to improve quality

License-Exempt CCEE Providers Receiving CCDF

- understand and meet regulations
- attend to and strive to improve quality

Families

- have access to safe, nurturing, culturally-responsive high quality CCEE
- have the information they need to select CCEE
- have confidence in the licensing system

Children Thrive



TRLECE Research Using State Administrative Data

- Working with 4 states to scrape publicly posted licensing data to address questions about licensing, such as:
 - Do programs that accept child care subsidies tend to have more (or fewer) licensing violations?
 - Do programs in communities with higher poverty levels tend to have more (or fewer) licensing violations?
 - Do programs in communities with more individuals who identify as Black tend to have more (or fewer) licensing violations?
 - Do programs in communities with more individuals who identify as Hispanic or Latino tend to have more (or fewer) licensing violations?

TRLECE Research Using State Administrative Data

- Working with 3 states to use their administrative data (e.g., licensing, QRIS) to address questions of mutual interest, such as:
 - Do licensing violations vary based on the percentage of children receiving subsidy in the program?
 - What is the relationship between licensing violations and a program's QRIS rating?



Licensing Case Studies

Licenseexempt providers

Licensing TA support



Surveys About Licensing

Licensing administrator survey

Front-line licensing staff survey

Licensed child care provider survey



Engaging Statesand Others

Nina Johnson



Purpose of Engagement

- Enhance project activities and improve the rigor and relevance of our work
- Inform project decisions, shape priority research questions, develop study procedures, interpret findings, and enhance the utilization of findings



Approach to Engagement

- The project has a pool of experts which continues to grow.
- We engage subgroups to provide feedback on specific activities or products.



43 activities

Activities

Feedback on product development



Feedback on instruments



Feedback on research processes



Feedback on analyses



State research partnership activities





planned for near future

108 experts

Expert Engagement

Licensing representatives



Researchers

Provider representatives

CCEE system representatives

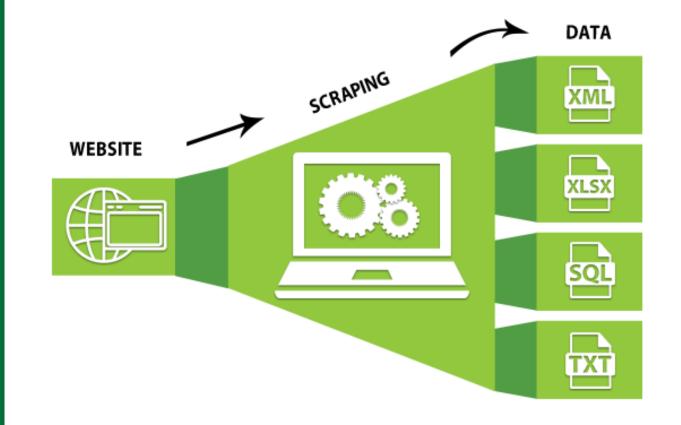
Other experts







Web Scraping



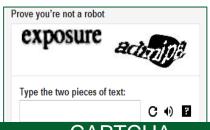


Lessons Learned from Web Scraping

Tracy Gebhart



Ethical Web Scraping



CAPTCHA





Robots.txt



Though varying in enforceability, ethical users should consider these protections and terms of use before web scraping.



Scraping CCEE Licensing Data

2016 CCDF Final Rule requires states to have a consumer education website with specific information, including licensing monitoring and inspection results

There is variation in:

- CCEE provider types, licensing rules, and visit types/frequencies.
- Format (e.g., online databases, summative tables of unpublished data, or scans of physical monitoring forms).



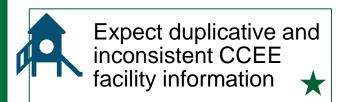
Lessons Learned in Web Scraping

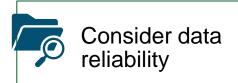




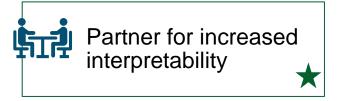
Anticipate changes to the website and/or licensing standards





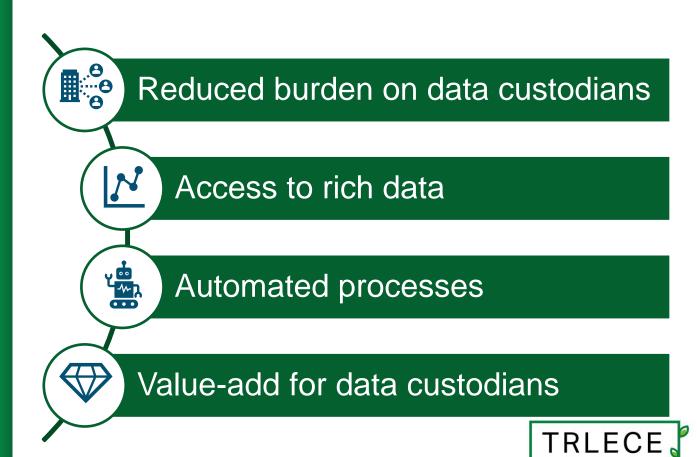








Successes in Web Scraping



Early Care and Education

Working with States to Analyze Licensing **Administrative Data**

Diane Early



Our Work with States

- Aimed to answer similar, policy-relevant research questions across 3-4 states.
- Outlined questions we thought could be addressed using administrative licensing data.
- Approached four states, based on our understanding of their data.
- Three agreed to partner with us.

Our Work with States

- Each state required several calls with administrators and data staff to:
 - Understand their licensing system
 - Gain needed permissions
 - Finalize decisions about which questions we would address through our research and analysis.
 - Create and execute an MOU
 - Request and receive the needed data
 - Understand the data they provided



Research Questions CoCreated with States

- Predicting licensing violations from:
 - Percent of children receiving subsidies
 - Community poverty level
 - Community race/ethnicity
 - Urban/non-urban
 - QRIS rating
- Standard set of co-variates in each model
- Separate models for centers and family child care
- Separate models predicting total violations and high-risk/severe violations



Working with Administrative Licensing Data

- Understanding the data required multiple conversations.
- Examples:
 - Different terms for violations (e.g., noncompliance), with different meanings within/across states
 - Number of visits in a year may be related to past compliance
 - Documenting every rule inspected vs only those violated



Answering Similar Questions Across States

- Cross state analysis decisions:
 - Which visits to include
 - Timeframe
 - Ensuring variables were comparable

Opportunities and Challenges

- Child care licensing is a foundation of the CCEE system, but has received little research attention
- States have a wealth of licensing administrative data and many are eager to support analysis
- However, the data are often messy and easily misunderstood
- Partnering with states to understand their data is critical

State Perspectives on Research

Simon Bolivar, Utah Dawn Jeffrey, Arkansas



Discussion



Thank you!



